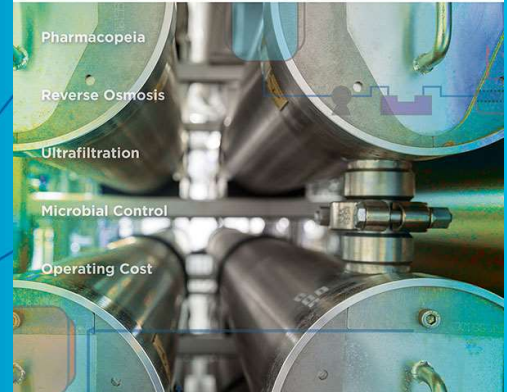


Good Practice Guide: Membrane-Based Water for Injection Systems

Gary Zoccolante
President
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GOOD PRACTICE GUIDE:
**Membrane-Based
Water for Injection
Systems**



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Table of Contents

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 Membrane WFI appeal
 WFI regulatory history
 Risk assessment
 Pharmacopeial guidance
 Generation system design
 Storage and distribution considerations
 Total cost of ownership
 Appendices



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Critical Utilities Community of Practice

- ❖ Water, Process Gases and Steam
- ❖ The Discussion Forum is the nexus of the communication with members of the CoP.
- ❖ Subject Matter Experts (SMEs) are involved in a regular, typically daily, review of the Discussion Forum.
- ❖ Topical, fundamental or basic, and debatable subjects can be placed in the Discussion Forum for all members to read or comment.
- ❖ Typically at least two to three SMEs respond to postings on the Discussion Forum - North America and Europe
- ❖ Available at no additional cost to ISPE members



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Member Quotes

“COPs provide different perspectives and real life examples.”

“Topics of discussion are most of the time quite relevant to the industry and helps me keeping in touch by reading them to understand challenges.”

“The community gives a broad overview of current industrial thinking.”

“A valuable resource for technical hints, reference documents and shared field experience”

“CoPs are integral to the continued success of ISPE - I believe the future will need to rely more heavily on these groups and they need to become more visible and self sustaining.”



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Critical Utilities Guides

- ISPE Baseline Guide: Volume 4 – Water and Steam Systems (3rd Ed)
- ISPE Good Practice Guides:
 - Approaches to Commissioning and Qualification of Pharmaceutical Water and Steam Systems (2nd Ed)
 - Critical Utilities GMP Compliance – How to Be Compliant and Ready to Prove It
 - Membrane-Based Water for Injection Systems
 - Ozone Sanitization of Pharmaceutical Water Systems
 - Process Gases (2nd Ed) – *COMING SOON!*
 - Sampling for Pharmaceutical Water, Steam, and Process Gases



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Why is membrane-based WFI production appealing?

Reduced carbon footprint
 Reduced capital costs
 Reduced operating costs
 Potential for improved water quality
 Reduced space requirement
 Utility plant impact



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WFI Regulatory History

1820 USP 1..... “Let water be distilled....’
 1942 USP XII..... WFI shall be produced by distillation
 1975 USP.....WFI produced by distillation or reverse osmosis
 2005 USP.....”distillation or a process that is equivalent or superior to distillation in removal of chemicals and microorganisms’
 2017 Ph. Eur. “**distillation or reverse osmosis**, which may be single-pass or double-pass, coupled with other appropriate techniques such as electro-deionisation, ultrafiltration or nanofiltration”



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Guide Pharmacopeial Guidance

All require CQA attainment for conductivity, TOC, micro and endotoxin
some plus additional CQA

China.....distillation only

Japan.....membrane alternates allowed

International Pharmacopeia....membrane alternates allowed

India.....no restrictions

Brazil.....no restrictions

Mexico...no restrictions

Russia...no restrictions if from drinking water

Korea....membrane alternates allowed



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Risk Profile

Product

Patient

Procedural controls

Personnel expertise

Incoming source water

Process design

Generation and storage/distribution



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Generation Pretreatment Process Options

- Media filter
- Cartridge/bag filter
- Screen filter
- Ultrafilter
- Softener
- Antiscalant
- Electric scale control
- Activated carbon
- Chemical dechlorination/chlorination
- pH adjustment



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Generation Final Treatment Process Options

- Nanofiltration
- Reverse osmosis
- Ion exchange
- Electrodeionization
- Ultraviolet light
- Microfiltration
- Ultrafiltration
- Membrane degasification



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Generation System Design

No single recommended solution

Ph. Eur. Requires reverse osmosis for compliance

No requirement for multiple membrane barriers, but recommended in guide

Most configurations include pretreatment, reverse osmosis, electrodeionization, ultrafiltration and hot water sanitization

Hygienic construction recommended

Multiple sanitization methods recommended

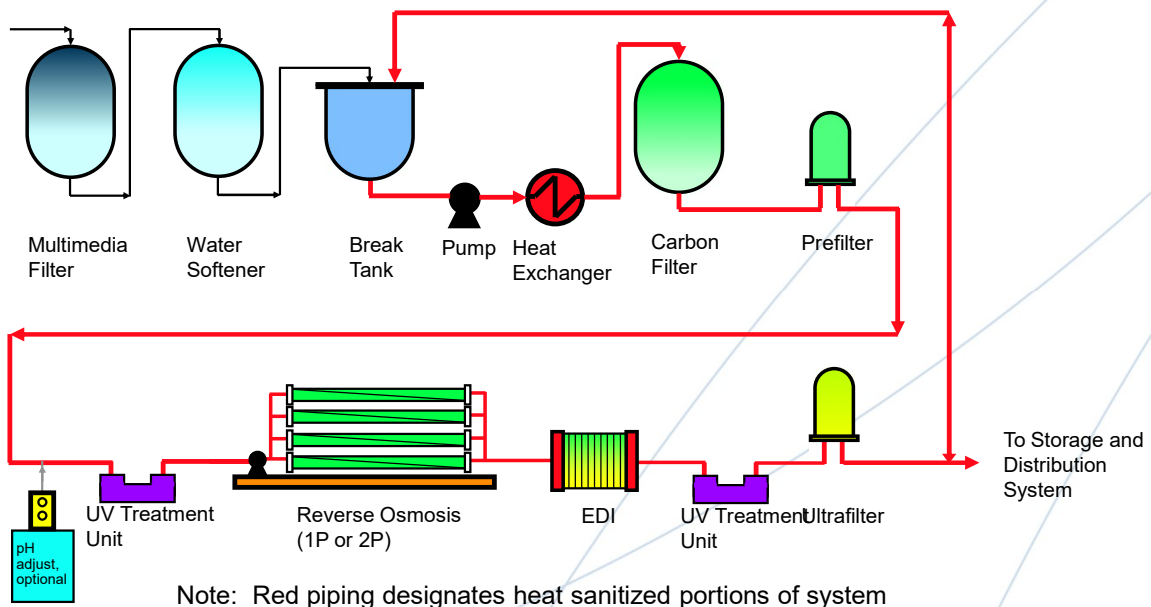


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Figure 5.16: Example 1- RO/EDI/UF Membrane System



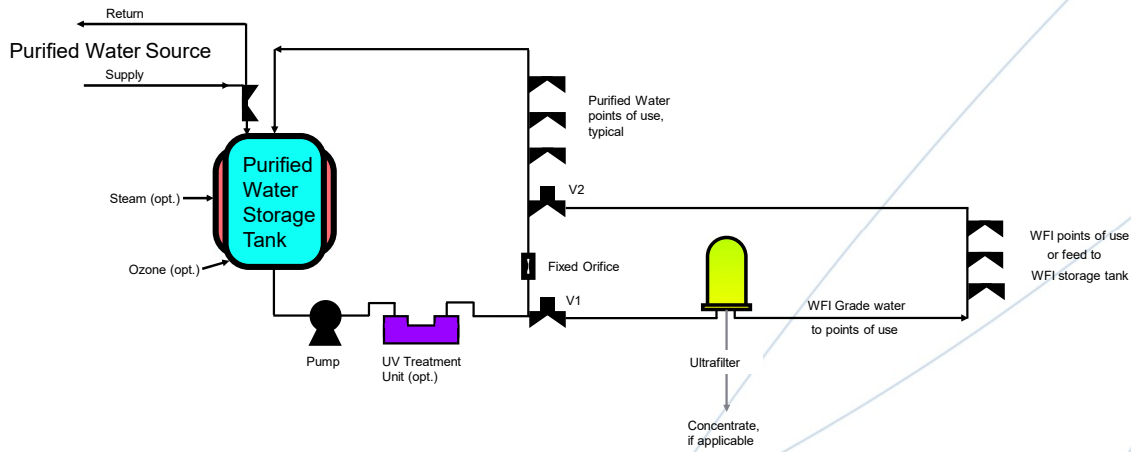
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Figure 5.11

Purified Water and WFI Water from a single storage tank



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Storage and Distribution

Continuously sanitizing environment preferred

ozone

heat

Frequent sanitization if intermittent

Evaluate tank turnover, turbulence, vessel design/construction for intermittent

Minimize dead legs

Appropriate instrumentation

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Figure 6.1

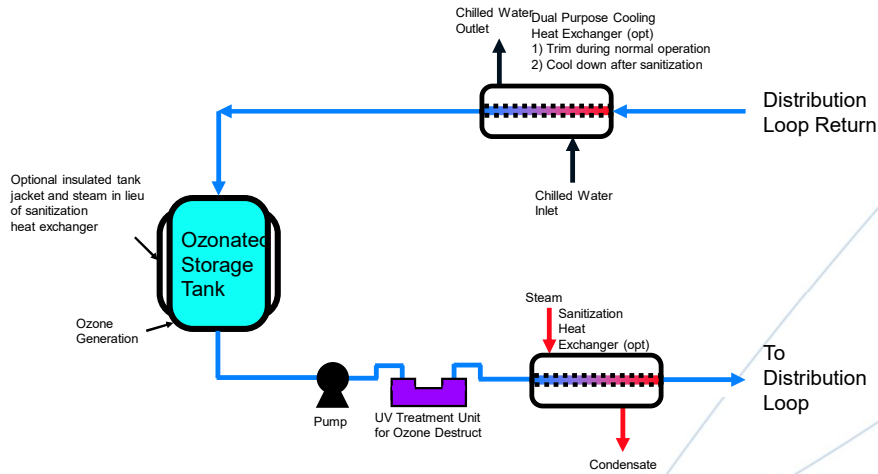


Figure 6.1 - An example showing a continuously ozonated storage tank with ambient temperature WFI distribution featuring heat sanitization capabilities as a backup sanitization method. Heat exchanger locations are for illustrative purposes and may vary.



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Process Analytical Technology (PAT)

Continuous analysis and control of manufacturing processes

- real-time measurements

- rapid measurements

- continuous analysis

Measurement of CQAs and CPPs

Tighter alert and action levels to avoid OOS events



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Microbial Control

Philosophies throughout guide

Chapter devoted to process design, operation and sanitization

Process Control sampling versus Quality Control sampling

Continuous bioburden analyzers

Contamination Control Strategy



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Commissioning and Qualification

User Requirement Specification

Risk Assessment

- Critical components and functions

- Critical Quality Attributes (CQAs)

- Critical Process Parameters (CPPs)

- Failure impact

- Failure likelihood

- Failure detectability

IQ

OQ

PQ



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Comparative Costs

Capital costs

- equipment
- engineering/PM
- construction and installation
- C&Q

Operating costs

- maintenance
- consumables and chemicals
- utilities
- sampling and monitoring



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Total cost of Ownership

Utilization is a key TCO factor

- standby utility costs greater factor for low utilization

Utility costs variable and significant factor

Equipment life is a significant factor due to depreciation costs

Distillate temperature (hot versus ambient) is a significant factor

- ambient distillate temperature used for VC

Water recovery is a major consideration

Sampling practice vary and can impact costs significantly

Utility plant capital costs not considered



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Additional Chapters and Appendices

Microbiological Considerations
Operation and Maintenance

Summary of Pharmacopeial Requirements
Process and Equipment Risks
Contamination Control Strategy
Ozone Flushing Sample Calculation
Cost Analysis Case Study
Ultrafilter Integrity Testing



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Process and Equipment Risks

Scale control
Oxidation
Reverse osmosis
 micro
 conductivity
Electrodeionization
 micro
 conductivity



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Process and Equipment Risks

Ultrafiltration

fouling

micro/endotoxin

Ozone

micro

product oxidation due to oxygen level

ozone breakthrough (WFI monograph violation)

component oxidation



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Contamination Control Strategy

Process description

WFI applications

Process drawings

Microbial monitoring points

Biofilm prevention

Sanitization procedures

Monitoring, trending and data analysis

Filters

Alarms

Maintenance

Contamination and utility interruption response



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Ozone Worker Safety

Air turnover rate
Room area
Water quantity into space
Ozone concentration
Ambient ozone monitor
SOPs



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Ultrafiltration Integrity Testing

Failure mechanisms
Intactness testing
Vendor procedures
EPA Membrane Filtration Guidance Manual
Pressure decay
Diffusive air flow
Broken fiber bubble observation



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Summary

Guide is comprehensive

Guide is well balanced - risks defined as well as advantages

No single solution

Guide has significant design information, but is not overly prescriptive



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Questions?



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